

**EZRA ZASK**  
**Ezra Zask Advisors, LLC**  
344 Main Street, Suite 1 Box 1994 Lakeville, CT 860-435-8333 ezask@ezallc.com

**EXPERT WITNESS/LITIGATION SUPPORT**

Nearly 20 years experience as an expert witness in cases involving hedge funds, securities, investments, derivatives, hedge funds, currencies, mortgage backed securities, trading, securities fraud and Ponzi schemes. Testified in NASD arbitration, US District Court (Criminal), New York Supreme Court (Civil), IRS Court, Bankruptcy Court. Worked with top law firms on defendant and plaintiff cases. (Full case list and references available on request).

**HEDGE FUND AND INVESTMENT MANAGEMENT (1998-Present)**

Managed investment portfolios comprised of hedge funds, equities, fixed income, commodities and derivatives for fund of hedge funds, banks, institutional investors and high net worth individuals. Functions included hedge fund due diligence; quantitative asset allocation and portfolio construction; risk management including value-at-risk methodology.

**Ezra Zask Advisors, LLC, (2001-Present); Founder and President**

Investment management services for hedge funds, hedge fund of funds, family offices and institutional investors: hedge fund due diligence and manager selection, risk management

**Azimuth Alternative Investments (2005-2006); Managing Director**

Developed and managed hedge fund portfolios for fund of hedge funds

**Ibbotson Associates Advisors, LLC (2002-2005); Founder and Manager**

Managed investment management programs for banks, fund of funds, institutional investors including due diligence; portfolio construction and asset allocation; risk management

**Advisor Software, Inc., (2000-2001), Managing Director -- Business and Product Development**

Internet service providing financial management and asset allocation advisory to investment managers, 401K plans and institutional investors

**Berkshire Capital Securities, (1998-2000); Managing Director; (2006-8); Advisory Director**

Valuation and transactions for M&A firm specializing in asset management companies

**HEDGE FUND TRADING AND MANAGEMENT (1991-1998)**

**Ezra Zask Associates, LLC, 1991-1998, Founder & President**

Managed all aspects of CFTC registered hedge fund/CTA with \$200 million AUM using currency and interest rate arbitrage and trend following futures trading programs; Partner in Raynor & Stonington trading arbitrage strategies in FX, commodities, interest rates and equities and Barrington Capital Management emerging market fixed income and currency fund

**BANK TRADING IN SECURITIES AND DERIVATIVES (1980-1991)**

Managed extensive trading operations in currencies, interest rates and derivatives including proprietary trading, marketing and risk management in spot, forwards, futures, swaps and options

**Mellon Bank, Capital Markets Group, (1988-1991) SVP & Global Trading Manager**

**Manufacturers Hanover Trust Company, (1980-1988) Managing Director, European Treasury Manager, Chief Dealer, and Manager of the International Treasury Consulting Group**

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### **ACADEMIC EXPERIENCE**

Teaching graduate level courses on hedge funds, investments, securities, derivatives, finance

**Lally School of Management and Technology, Rensselaer Polytechnic Institute, 2007-Present,  
Professor in the Practice in Finance**

**Yale University School of Management, 2005-6; Adjunct Professor**

**Princeton University Bendheim Center for Finance, 2001-2005; Lecturer**

**Carnegie Mellon University, Graduate School of Industrial Administration, (1998-90),  
Associate Professor**

**Columbia University, Fordham University, Barnard College, Marymount College, 1975-7,  
Adjunct Instructor**

### **ECONOMICS AND FINANCE**

**Business International Corporation, Senior Associate, 1977 – 1980**

Developed Country Risk Assessment Service on 80 countries for banks and corporations

**US Agency for International Development, 1997-8**

Provided training programs for Russian bankers in Moscow and Volgograd

### **PUBLICATIONS and INDUSTRY PARTICIPATION (Selective List)**

- *The E-Finance Report*, McGraw Hill, 2001
- **Global Investment Risk Management**, Edited, McGraw Hill, 1999
- **Hedge Fund Industry Report**, Journal of Alternative Investments, Institutional Investor, February, 2000
- Hedge Fund Valuation, Berkshire Capital Corporation, May, 2000
- Online Brokerage Industry Report, Berkshire Capital Corporation, February, 2000
- Investment Management Industry Review 2000 (Berkshire Capital Corporation, June, 2000)
- Articles include: “Derivatives Risk-Management Audit,” in Derivatives Risk and Responsibility, Robert A. Klein and Jess Lederman, editors, Irwin, 1996; “Currency Risk Management,” in Strategic Currency Investing, A.W. Gitlin, Probus, 1993; “Credit Derivatives,” and “Value-at-Risk for Hedge Funds,” in Bloomberg Magazine, 1999
- Quoted in Wall Street Journal, Reuters, Bloomberg, and Nikkei News; Profiled in Forbes, Euromoney and Derivatives Strategy; Contributed weekly financial strategies column, “Risk and Reward” and the Options Strategist on equity options strategies for WorldlyInvestor.com (1999)
- Speaker at hedge fund conferences for Euromoney; IIR; Infovest21; Institutional Investor; Opal

### **EDUCATION**

- **COLUMBIA UNIVERSITY**, M.A., M. Phil., 1975; Columbia University President’s Fellowship; New York State Herbert Lehman Fellowship; National Science Foundation Thesis Grant
- **PRINCETON UNIVERSITY**, B.A., 1972; Graduated cum Laude